

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Tony Lindauer.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Tony Lindauer*, Case No. 20-005;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on March 31, 2020, the Commission alleged facts in an Initiating Order that Tony Lindauer violated the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), (d), KRS 11A.020(2);

WHEREAS, Tony Lindauer was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9) and thus subject to the Executive Branch Code of Ethics; and


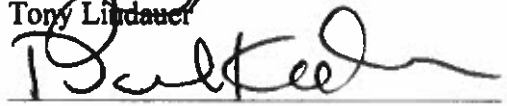
WHEREAS, Tony Lindauer indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Tony Lindauer agree, pursuant to KRS 11A.100, as follows:

1. Tony Lindauer does not admit that he committed three counts in violation of the Executive Branch Code of Ethics codified at 11A.020(1)(a), (b), (d), KRS 11A.020(2), as stated in the Appendix A to the Commission's Initiating Order of March 31, 2020, attached hereto and incorporated by reference herein, but agrees that he will not contest these charges for the purposes of settling this matter.
2. Tony Lindauer agrees to pay the Commission a civil penalty of seven thousand, five hundred dollars (\$7,500.00) concurrent with the entry of this settlement agreement.
3. Tony Lindauer agrees that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.
4. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Tony Lindauer*, Case No. 20-005.

5. This Settlement Agreement constitutes a public reprimand to Tony Lindauer, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:


Tony Lindauer

David Kaplan

3/05/2020
Date
3/5/20
Date

EXECUTIVE BRANCH ETHICS COMMISSION:

Christopher L. Thacker
Christopher L. Thacker (Mar 31, 2020)

Christopher L. Thacker, Chair

Mar 31, 2020

Date

Christopher W. Brooker
Christopher W. Brooker (Mar 31, 2020)

Christopher W. Brooker, Vice-Chair

Mar 31, 2020

Date

April A. Wimberg
April A. Wimberg (Mar 31, 2020)

April A. Wimberg, Member

Mar 31, 2020

Date

Holly R. Iaccarino
Holly R. Iaccarino (Mar 31, 2020)

Holly R. Iaccarino, Member

Mar 31, 2020

Date

Kyle M. Winslow
Kyle M. Winslow (Mar 31, 2020)

Kyle M. Winslow, Member

Mar 31, 2020

Date